

10/512126 DTO1 Rec'd PCT/PTC 2 0 OCT 2004

#### S/N Unknown

**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

CHOI, et al.

Examiner:

Unknown

Serial No.:

Unknown

Group Art Unit:

Unknown

Filed:

Herewith

Docket No.:

9717.40USWO

Title:

COMPOSITION FOR TREATING CANCER CONTAINING N,N-

DIMETHYLPHYTOSPHINGOSINE

CERTIFICATE UNDER 37 CFR 1.10:
"Express Mail" mailing label number: EV 408490399 US

Date of Deposit: October 20, 2004

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commission for Patents, Mail Stop PCT, P.O. Box 1450, Alexandria, VA 22313-1450.

> By: Name: David Ortiz

## **INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))**

Mail Stop PCT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. Enclosed for the Examiner's information is a copy of the International Search Report. At least some of the references were recently cited in an International Search Report mailed August 20, 2003.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of any foreign patent document or "Other Document" listed on the Form 1449 is enclosed, in accordance with 37 C.F.R. §1.98(a)(2). Because this application was filed after June 30, 2003, copies of the U.S. Patents and U.S. patent publications listed on the enclosed Form 1449 are not provided.

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A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. §1.98(a)(3)):

English abstracts have been included for each foregin language reference.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

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23552

PATENT TRADEMARK OFFICE

Dated: October 20, 2004



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FORM 1449\*

### INFORMATION DISCLOSURE STATEMENT

Docket Number: 9717.40USWO

Application Number 12126

IN AN APPLICATION
(Use several sheets if necessary)

Applicant: CHOI, et al.
Filing Date: Herewith

Group Art Unit: Unknown

			U.S. PA	ATENT DOCUMENT	rs			
EXAMINER INITIAL	DOCUMENT NO.		DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	5,821,072 5,958,742		October, 1998	Schwartz, et al.				
			September, 1999	Park, et al.				
	6,194,196		February, 2001	Park, et al.				
	6,368,831		April, 2002	Maurer, et al.				
	6,372,236		April, 2002	Park, et al.				
	6,444,638		September, 2002	Schwartz, et al.				
			FOREIGN	N PATENT DOCUMI	ENTS			
	DOCUMENT NO.		DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	10-0188857		January, 1999	Korea			Abstract	
	10-0221357 2 781 502 00/53568 2001-39859 2001-0044801 2002-0044851 1 291 340		June, 1999	Korea			Abstract	
			January, 2000	France			Abstract	
			September, 2000	WIPO				
			February, 2001	Japan			Abstract	
			June, 2001	Korea			Abstract	
			June, 2002	Korea			Abstract	
			March, 2003	EPO				
		OTHER	DOCUMENTS (Inc	luding Author, Title, D	ate, Pertinent I	Pages, Etc.)		
-		Jendiroba, et al., "Effective cytotoxicity against human leukemians and chemotherapy-resistant leukemia cell lines by <i>N-N</i> -dimethylsphingosine", Leukemia Research, vol. 26, 2002, 301-310						
		Ohta, et al., "Induction of apoptosis by Sphingosine in Human Leukemic HL-60 Cells: A possible Engogenous Modulator of Apoptiotic DNA Fragmentation Occurring during Phorbol Ester-induced Differentiation", Cancer Research, vol. 55, no. 3, February 1992, 691-697						
		Advanced Organic Chemistry, 4th edition, Wiley-Interscience Publication, Jerry March, 1992, 898-901						1
		Lee JS, et al., "Phytosphingosine and C2-phytoceramide induce cell death and inhibit carbachol-stimulated phospholipase D activation in Chinese hamster ovary cells expressing the Caenorhabditis elegans muscarinic acetylcholine receptor", FEBS Letters, 499(1-2), June, 2001, 82-86						
				es of Sphingosine, Sphi aling Pathways", J. Bio				In Regar

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.